

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a container addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 21, 2004.

Signature: Crystal Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): William W. Smith III, et al.

Group Art Unit: 3629

Serial No.:

09/684,010

Edward R. Cosimano

Filed:

October 6, 2000

Date:

Examiner:

April 21, 2004

Title:

Online, Multi-Carrier, Multi-Service Parcel Shipping Management Functional Alignment of Computer Devices

Atty Dckt No.: PSTM0002/MRK

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(c)

Mail Stop Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references and documents are enclosed. This filing is made during one of the periods described in 37 CFR § 1.97(c); the fee set forth in §1.17(p) is included herewith.

Many of the references listed on the attached Forms PTO-1449 were cited in Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application. Also listed on the attached Forms PTO-1449 are Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application.

While this Information Disclosure Statement, and the references and documents cited in the enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of the references and documents listed in the enclosed Forms PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references and documents be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

april 21, 2004

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Respectfully submitted,

Marilyn R. Khorsandi

Attorney/Agent for Applicant(s)

Reg. No. 45,744

Khorsandi Patent Law Group, ALC

140 S. Lake Ave., Ste. 312

Pasadena, California 91101-4710

Telephone No.: (626) 796-2856

Fascimile: (626) 796-2864

E TRAV

Examiner

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control. Uder the Paperwork Reduction Act of 1995, no persons are required to re

Sobstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

	ess it displays a valid OIVIB control number.				
Complete if Known					
Application Number	09/684,010				
Filing Date	10/6/2000				
First Named Inventor	William W. Smith III, et al.				
Group Art Unit	3629				
Examiner Name	Edward R. Cosimano				
Attorney Docket Number	PSTM0002/MRK				

		U.S. Patent [Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-YYYY	Where Relevant Passages or Relevant Figures Appear
	1.	4,351,033		Uchimura et al.	09-1982	Throughout
	2.	4,958,280		Pauly et al.	09-1990	Throughout
	3.	5,222,018	A	Sharpe et al	06-1993	Throughout
	4.	5,310,997	Α	Roach et al.	05-1994	Throughout
	5.	5,413,383	A	Laurash et al.	05-1995	Throughout
	6.	5,414,809	Α	Hogan et al.	05-1995	Throughout
	7.	5,485,369		Nicholls et al.	01-1996	Throughout
	8.	5,592,378	Ą	Cameron et al.	01-1997	Throughout
	9.	5,618,064	A	Main	04-1997	Throughout
	10.	5,656,799	A	Ramsden et al.	08-1997	Throughout
	11.	5,724,595	A	Gentner	03-1998	Throughout
	12.	5,758,329	A	Wojcik et al.	05-1998	Throughout
	13.	5,781,634	A	Cordery et al.	07-1998	Throughout
	14.	5,832,459	A	Cameron et al.	11-1998	Throughout
	15.	5,855,006	A	Huemoeller et al.	12-1998	Throughout
	16.	5,870,717	Α	Wiecha	02-1999	Throughout
	17.	5,914,463	Α	Dlugos	06-1999	Throughout
	18.	5,960,408	Α	Martin et al.	09-1999	Throughout
	19.	5,971,587	Α	Kato et al.	10-1999	Throughout
	20.	6,006,332	Α	Rabne et al.	12-1999	Throughout
	21.	6,015,167	Α	Savino et al.	01-2000	Throughout
	22.	6,035,291	Α	Thiel	03-2000	Throughout

				FORE	IGN PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Office ³	oreign Patent Document Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Signature Considered *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Sobstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Under the Paperwork Reduction Act of 1995, no persons are required to re-

(use as many sheets as necessary)

4 of Sheet

spond to a collection of information unless it displays a valid OMB control number.					
C mplete if Known					
Application Number	09/684,010				
Filing Date	10/6/2000				
First Named Inventor	William W. Smith III, et al.				
Group Art Unit	3629				
Examiner Name	Edward R. Cosimano				
Attorney Docket Number	PSTM0002/MRK				

		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-YYYY	Where Relevant Passages or Relevant Figures Appear
	23.	6,047,264	A	Fisher et al.	04-2000	Throughout
	24.	6,047,271	A	Danford-Klein et al.	04-2000	Throughout
	25.	6,058,373	A	Blinn et al.	05-2000	Throughout
	26.	6,134,561	Α .	Brandien et al.	10-2000	Throughout
	27.	6,213,518	B1	Raming	04-2001	Throughout
	28.	6,285,916	B1	Kadaba	09-2001	Throughout
	29.	6,321,214	B1	Thiel	11-2001	Throughout
	30.	6,369,840	B1	Barnett et al.	04-2002	Throughout
	31.	6,525,835	B1	Gulati	02-2003	Throughout
	32.	6,526,393	B1	Fredman	02-2003	Throughout
· · · · · · · · · · · · · · · · · · ·	33.	6,616,189	B2	Raming	09-2003	Throughout
	34.	6,621,532	B1	Mandt	09-2003	Throughout
	35.	RE 37,521	E	Laurash et al.	01-2002	Throughout
						•

				FORE	IGN PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Office ³	Foreign Patent Document Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^c
	36.	EPO	EP943904A1		Hasbani et al.	09-1999	Throughout	1
	37.	wo	WO01/13261A1		Jueses et al.	02-2001	Throughout	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		
	Cite No.	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),

Examiner ·	Date		
Signature	 Considered		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexadria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

+

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

bstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known					
Applicati n Number	09/684,010				
Filing Date	10/6/2000				
First Named Inventor	William W. Smith III, et al.				
Group Art Unit	3629				
Examiner Name	Edward R. Cosimano				
Attorney Docket Number	PSTM0002/MRK				

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1		nt ind Code ² if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

			FORE	IGN PATENT DOCUMENTS			
Cite No.1	For Office ³	eign Patent Document	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°
		Cite	Cite	Cite Foreign Patent Document Kind Code ⁵	Cite Foreign Patent Document Kind Code ⁵ Name of Patentee or Applicant of	Foreign Patent Document Date of Publication of Cite Kind Code ⁵ Name of Patentee or Applicant of Cited Document	Foreign Patent Document Cite Kind Code ⁵ Name of Patentee or Applicant of Cited Document Where Relevant

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	38.	ABF Freight System, Inc. Announces the Release of Q-Rate ® for Windows, May 26, 1999 (www.abfs.com/about/news/1999/p052699.asp?bhcp=1)	
	39.	"After Disconnection from Internet of Recovery from Error, Return to Unitform Resource Locator": 1B Technical Disclosure Bulleti, October 1, 1997, vol. 40, no. 10, pages153-154	
	40.	FedEx® Services, (www.fedex.com) Archived on 01/16/1997	
	41.	Material Logistics System, (NN86091526) IBM Technical Disclosure Bulletin, September 1986, US, Volumn 26, Issue 4, Page Numbers 1526-1530	
	42.	UPS Service Guide, (ww.upd.com) Archived on 12/06/1998	
	43.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 24, 2004 for Bennett, et al.; Apparatus, Systems And Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Location Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,871(Attorney Docket No. PSTM0003/MRK); filed October 6, 2000	
	44.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 25, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Parcel Handling Rules Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684.870 (Attorney Docket No. PSTM0007/MRK): filed October 6, 2000	
	45.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 23, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Notification Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/685.078 (Attorney Docket No. PSTM0010/MRK): filed October 6, 2000	
	46.	Examiner Akiba K. Robinson Boyce, Art Unit 3623, United States Patent Office; Office Action dated November 25, 2003 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Delivery Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,649 (Attorney Docket No. PSTM0012/MRK); filed October 6, 2000	

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexadria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to res

ubstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

4 Sheet of

spond to a collection of information diffess it displays a valid Civib Control number.				
C mplete if Known				
Application Number 09/684,010				
Filing Date	10/6/2000			
First Named Inventor	William W. Smith III, et al.			
Group Art Unit	3629			
Examiner Name	Edward R. Cosimano			
Attorney Docket Number	PSTM00002/MRK			

U.S. PATENT DOCUMENTS								
Examiner Initials*		U.S. Patent Document		Al (Balanda a Ala)	Date of Publication of	Pages, Columns, Lines,		
	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-YYYY	Where Relevant Passages or Relevant Figures Appear		

FOREIGN PATENT DOCUMENTS										
		Foreign Patent Document				Date of Publication of	Pages, Columns, Lines,	Т		
Examiner Initials*	Cite No.1	Office ³	Number ⁴	Kind Code⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵		

	•	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	47.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 31, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Rate and Delivery Schedule Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,654 (Attorney Docket No. PSTM0015/MRK); filed October 6, 2000	
	48.	Examiner Kenneth R. Rice, Art Unit 3627, United States Patent Office; Office Action dated November 18, 2002 for Bennett, et al.; Apparatus, Systems and Methods for Applying Billing Options for Multiple Carriers for Online, Multi-Carrier, Multi-Service Parcel Shipping Management; U.S. Patent Application Serial No. 09/684,865 (Attorney Docket No. PSTM0019/MRK); filed October 6, 2000	
	49.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 26, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Determination of Ratable Weight for Multiple Carriers; U.S. Patent Application Serial No. 09/684.077 (Attorney Docket No. PSTM0020/MRK); filed October 6, 2000	
	50.	Examiner Romain Jeanty, Art Unit 3623, United States Patent Office; Office Action dated February 18, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Zone Level Rating for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,014 (Attorney Docket No. PSTM0023/MRK); filed October 6, 2000	
	51.	Examiner Beth Van Doren, Art Unit 3623, United States Patent Office; Office Action dated December 24, 2003 for Bilbin, et al.; Apparatus, Systems and Methods for Determining Delivery Time Schedules for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,861 (Attorney Docket No. PSTM0024/MRK); filed October 6, 2000	
	52.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated April 5, 2004 for Williams, et al.; Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management; U.S. Patent Application Serial No. 09/820,377 (Attorney Docket No. PSTM0041/MRK); filed March 27, 2001	
	53.	Examiner Monica Smith Carter, Art Unit 3722, United Sfates Patent Office; Office Action dated February 10, 2004 for Bennett, et al.; Postal Shipping Label; U.S. Patent Application Serial No. 10/211,802 (Attorney Docket No. PSTM0044/MRK); filed August 1, 2002	

Examiner		Date .	
Signature		Considered	
	*		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.